



# Supplier Assurance Today & Beyond

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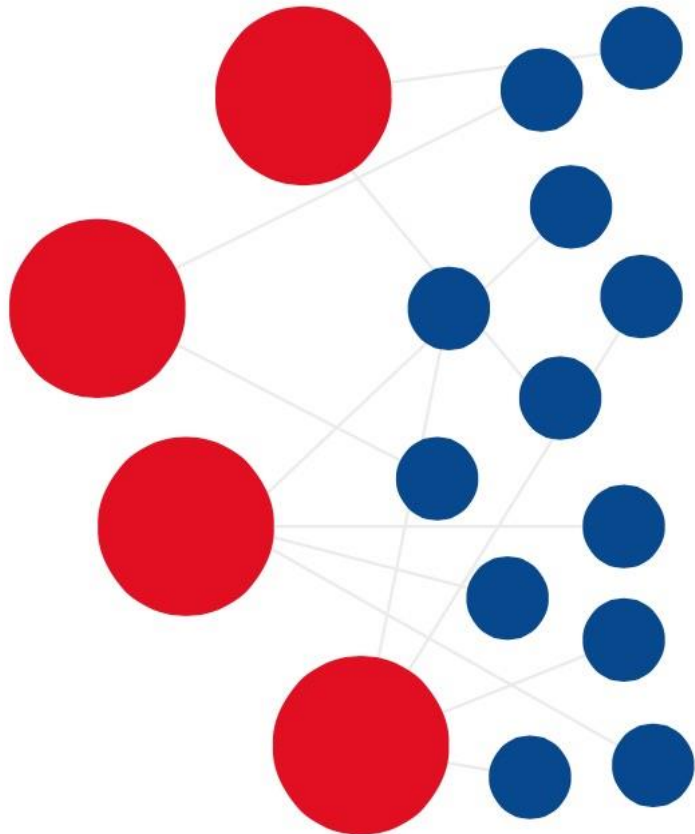
## An Introduction to RISQS – What is RISQS?

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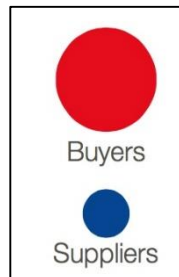
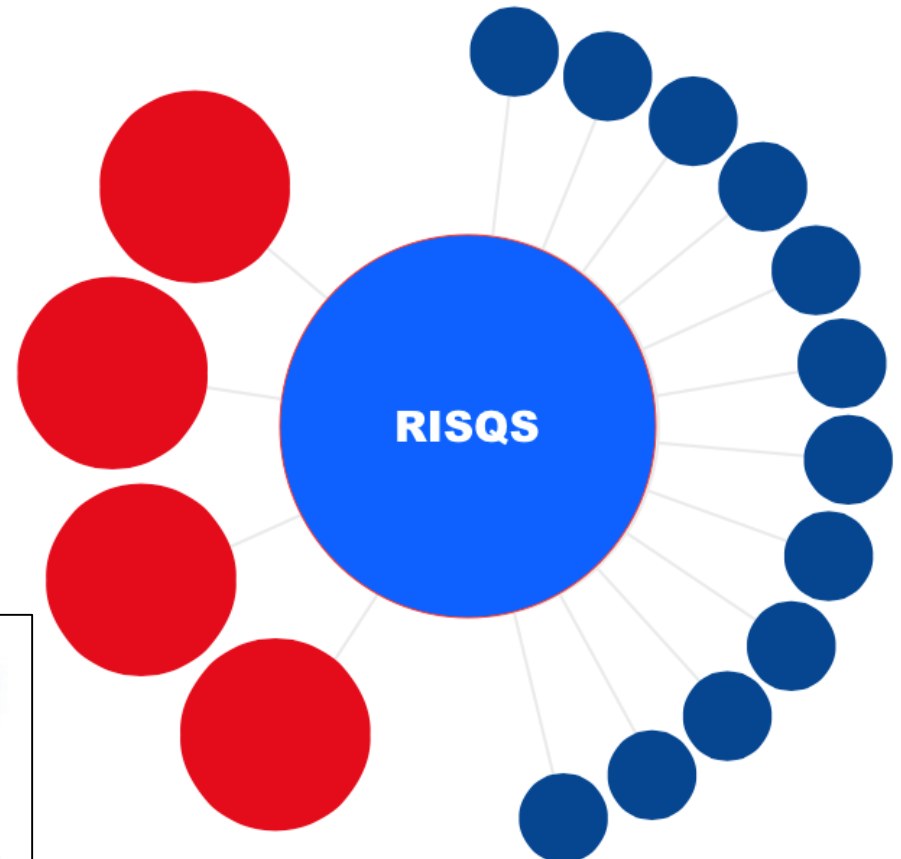
- RISQS is the industry owned scheme where suppliers provide verified corporate information and undergo capability assessments, through audit, where higher risk product codes are selected.
- The RISQS audit assesses a supplier's management systems and processes to provide buyers assurance that the supplier has the systems and resources in place to deliver the products and services they have identified as delivering through the selection of product codes.
- The scheme enables buyers to search this published information for suppliers that meet their specific requirements for delivery of the products or services being sought.
- The scheme benefits Buyers by undertaking capability assessments on their behalf and this benefits the suppliers by reducing the duplication of effort with multiple buyers carrying out the same capability checks.

# Why use RISQS?

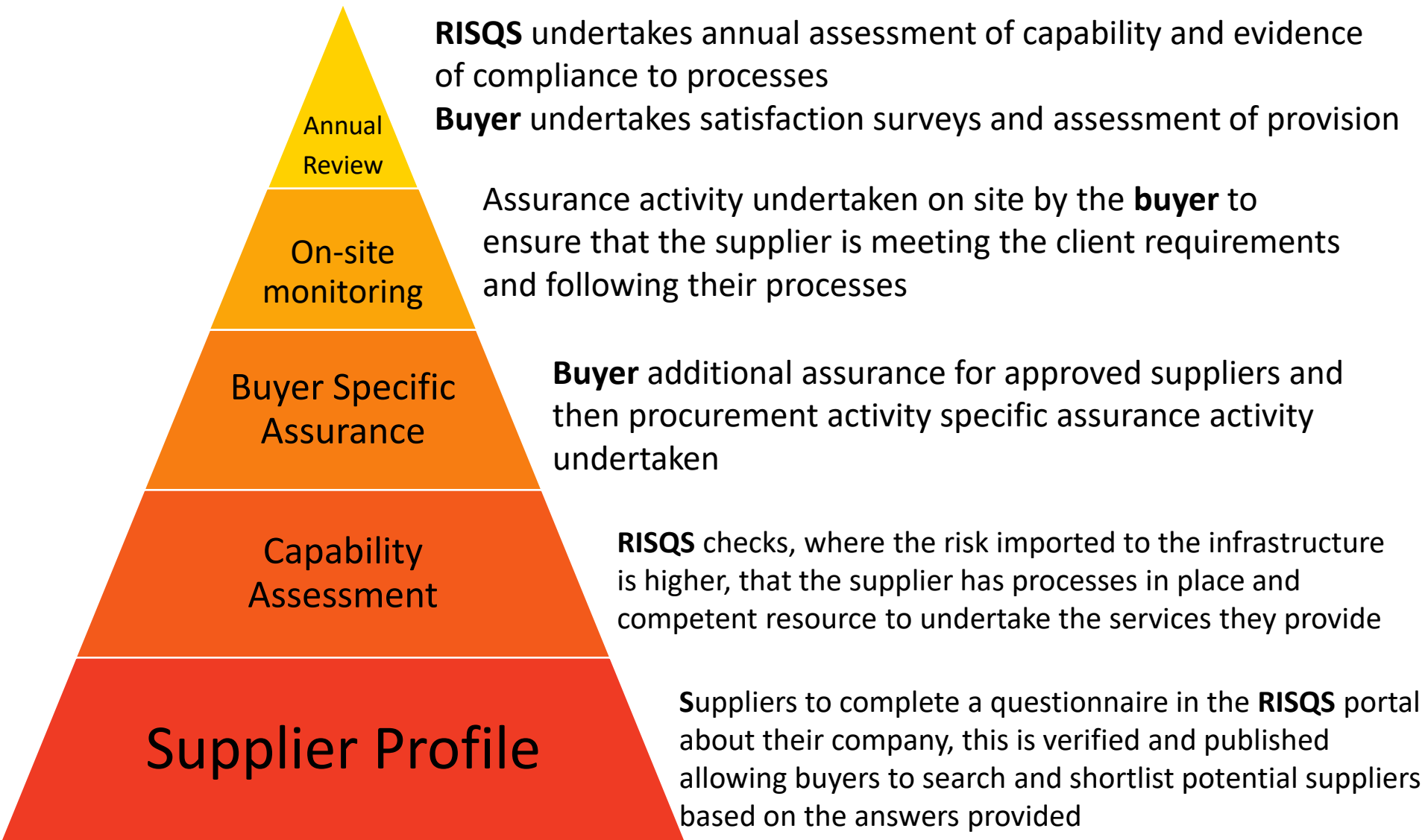
Without RISQS  
Individual buyers capability  
assessments often duplicating



With RISQS  
Standard data capture and assessment  
sharing information to all the buyers



# How do the assurance pieces fit together



## Myth – RISQS meets all my assurance needs

RISQS does not give any buyer full assurance

it is a capability assessment based on the supplier systems and resources we check that an organisation meets the corporate requirements to supply into the rail industry.

Where a suppliers services import more risk, whether from the service itself or the location the service is delivered, then an assessment of their capability to deliver those services by checking that they have systems and resources to safely deliver.

This is the capability check that buyers need to undertake prior to working with a supplier but is not able to take into account the requirements of specific contracts and will never replace the on site assessment and monitoring that a buyer should undertake to assure themselves that the supplier is actually using the systems they have to deliver safely

The scheme does provide the underpinning assurance through the Network Rail modules for Sentinel, RIPS and POS, these are undertaken on behalf of Network Rail and London Underground. If RISQS did not undertake these elements on the infrastructure Managers' behalf then they would need to gain their own assurance which would duplicate a number of areas of the Industry Minimum Requirements

## **Myth – why be in RISQS if I have ISO 9001**

RISQS is an industry prequalification scheme that allows buyers to review corporate information from suppliers who have selected product codes that meet their contract needs without needing to ask for the information for each and every tender they issue. As such the information required is more specific than any UKAS audit would look for. For ISO 9001 they check what you say your business and systems are where RISQS checks they meet the industry's requirements

Where the product code is auditable the scheme assesses the suppliers to confirm that they have the required systems and resources to deliver against the product code safely. RISQS does not duplicate ISO 9001 and it is not a requirement to be accredited to ISO 9001 to be in RISQS

It is a requirement to be RISQS qualified to sponsor in Sentinel as the RISQS Sentinel audit underpins the assurance of the scheme

# Benefits of the enhanced scheme

<b>Flexibility</b>	Configurable platform, more responsive to industry and legal changes, prompt updates for industry requirements
<b>Efficiency</b>	Auto population of PAS91 templates to save duplication, and the ability to integrate with buyers internal procurement systems
<b>Value-add tools</b>	Auto alerts, buyer specific questioning, supplier survey templates, save all searches including set criteria and ability to keep these as templates, built-in workflows, Notice of EU tenders provided for <u>free</u>
<b>Live supplier profiles</b>	Keeping data up to date by making change easier
<b>Increased Learning</b>	Analyse and identify data trends, and export results to MS formats
<b>Customisable</b>	User Management to assign permissions to other company users
<b>High-quality auditors</b>	Using the latest software providing consistency of audit
<b>Improved Management of audits</b>	Through new the use of scheduling software that integrates seamlessly into the platform with a communications module
<b>Continuous improvements</b>	Collaborative, supportive approach to maintaining assurance



# The Future For Supplier Assurance

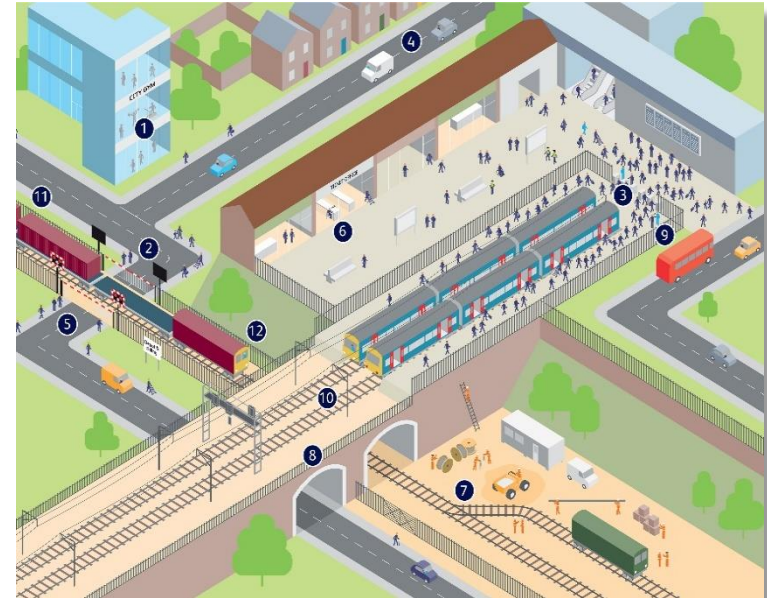
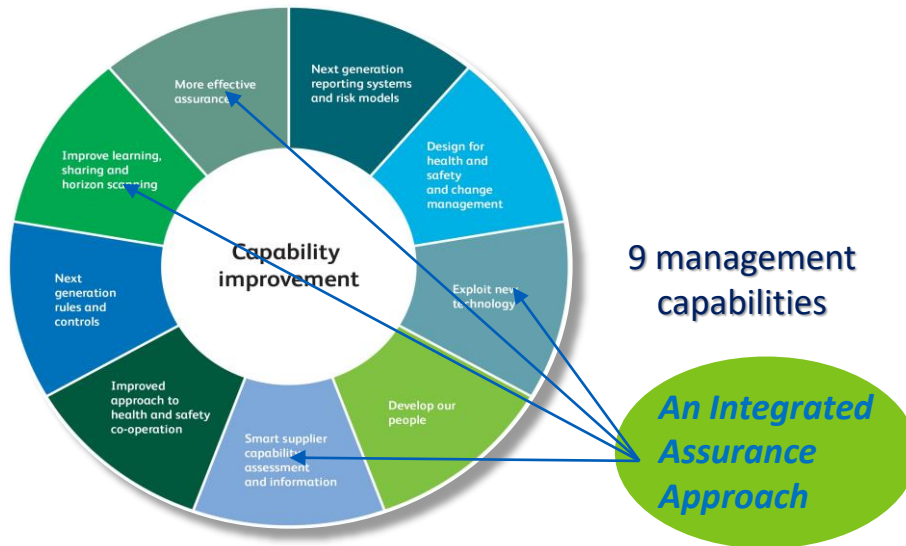




# Industry strategy launched March 2016

## Purpose

1. Identify areas where initiatives may help reduce harm
2. Be a reference point for industry collaboration



- |                                  |                                  |
|----------------------------------|----------------------------------|
| 1 Workforce health and wellbeing | 7 Workforce safety               |
| 2 Public behaviour               | 8 Infrastructure asset integrity |
| 3 Station operations             | 9 Workforce assaults and trauma  |
| 4 Road risk                      | 10 Train operations              |
| 5 Level crossings                | 11 Freight                       |
| 6 Fatigue                        | 12 Rolling stock asset integrity |

**12 key risks**

# Our Business Plan - Key Objectives 2018 / 2019

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## Operational Activities

- *Stabilisation of RISQS scheme post transition*
- *Engagement with RISQS / RISAS communities on scheme improvement*
- *Business development & expansion of RISQS / RISAS industry take-up*
- *Industry engagement & comms' for RISQS & RISAS*
- *Concept development for NIR / alerts / notices & linking with ERA*

## Capital Activities

- *Maintenance guarantee's for RISQS post 'go-live'*
- *RISAS Stabilisation, stakeholder engagement & Improvement t*
- *Industry alerts improvement*
- *Road Risk, EDI etc. process & protocols*
- *Maturity modelling & development*

## Key Themes Going Forward – 2018 & Beyond

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- *Earning the industry mandate to provide robust supply chain assurance*
- *Engaging with and listening to our customers and key stakeholders*
- *Steady, pragmatic, visible and methodical achievement*
- *Responding to industry needs and being ‘honest’ about when?*
- *Identifying the ‘low hanging fruit’ and delivering timely improvement*
- *Challenging ourselves (the wider ‘us’)*
- *Robust governance and industry leadership*
- *Smart Integrated systems & tools*



# A Vision For Supply Chain Assurance

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## Short-Term

- *Stabilising our approach with appropriate & timely improvement*
- *Communicating / engaging with industry (consultation)*

## Mid-Term

- *Integrating our systems & processes – ‘One version of the truth’*
- *Developing & delivering additional compliance tools*
- *Intelligence driven assurance & reporting*

## Long-Term

- *Smart solutions – Supply Chain Hub / Assurance Portal*
- *Embracing & fully integrating all associated supply chain processes*
- *An holistic approach to industry assurance*



## Where would we like to be?

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- *RSSB positioned as the 'go to' organisation for effective supply chain management*
- *RSSB facilitating the delivery of effective supply chain management systems & processes*
- *RSSB facilitating industry centred supply chain performance maturity, learning & improvement*
- *RSSB developing a assurance management hub for all GB rail stakeholders*

***RSSB providing effective leadership & enhancing, for and on behalf of industry, effective supply chain management solutions and integration***

**&**

***RSSB internationally recognised as a leading body in supply chain management solutions***



Thank you

